

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

UMAÑA *et al.*

Appl. No.: To be Assigned

Filed: January 22, 2004

**For: Fusion Constructs and Use of Same  
to Produce Antibodies With  
Increased Fc Receptor Binding  
Affinity and Effector Function**

Confirmation No.: To be Assigned

Art Unit: To be Assigned

Examiner: To be Assigned

Atty. Docket: 1975.0180003/TJS

**Authorization to Treat a Reply as Incorporating an  
Extension of Time Under 37 C.F.R. § 1.136(a)(3)**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

The U.S. Patent and Trademark Office is hereby authorized to treat any concurrent or future reply that requires a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. The U.S. Patent and Trademark Office is hereby authorized to charge all required extension of time fees to our Deposit Account No. 19-0036, if such fees are not otherwise provided for in such reply.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

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Date: January 22, 2004

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January 22, 2004

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**Mail Stop Patent Application**

Re: U.S. Non-Provisional Utility Patent Application under 37 C.F.R. § 1.53(b)  
Appl. No. To be Assigned; Filed: January 22, 2004  
For: **Fusion Constructs and Use of Same to Produce Antibodies With  
Increased Fc Receptor Binding Affinity and Effector Function**  
Inventors: UMAÑA *et al.*  
Our Ref: 1975.0180003/TJS

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

1. USPTO Utility Patent Application Transmittal Form PTO/SB/05;
2. 37 C.F.R. § 1.136(a)(3) Authorization to Treat a Reply As Incorporating An Extension of Time;
3. U.S. Utility Patent Application entitled:

**Fusion Constructs and Use of Same to Produce Antibodies With Increased  
Fc Receptor Binding Affinity and Effector Function**

and naming as inventors:

Pablo Umaña  
Peter Bruenker  
Claudia Ferrara  
Tobias Suter

the application comprising:

- a. an Application Data Sheet (37 C.F.R. § 1.76);

- b. specification containing:
    - i. one hundred twenty-nine pages of description prior to the claims;
    - ii. thirty-five pages of claims (286 claims);
    - iii. a one (1) page abstract;
  - c. forty-three sheets of drawings: (Figures 1-6, 7A-7B, 8A-8B, 9-13, 14A-14B, 15-16, 17A-17B, 18-21, 22A-22B, 23-26, 27A-27B, 28A-28B, 29-31, 32A-32C, 33A-33B, 34, 35A-35B, 36-37);
  - d. twenty-one pages of a paper copy of the sequence listing; and
  - e. A computer readable disk copy of the sequence listing.
4. Two (2) return postcards.

In accordance with 37 C.F.R. § 1.821(f), the paper copy of the sequence listing and the computer readable copy of the sequence listing submitted herewith are the same.

The name of the assignee is GlycArt Biotechnology AG.

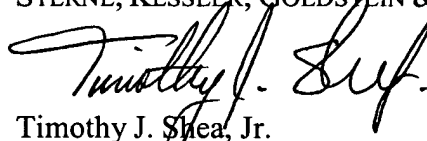
Correspondence should be sent to Customer Number 26111.

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible.

This patent application is being submitted under 37 C.F.R. § 1.53(b) without Declaration and without filing fee.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



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TJS/slw  
Enclosures

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